

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)	Examiner: Not Assigned
Daniel Eric LYNCH and John HEPTINSTALL)	Art Unit: 1645
Serial No.: 10/574,166)	Confirmation No.: 4158
Filed: March 29, 2006)	Customer No.: 22430
For: FLUORESCENT COMPOUND)	<u>REQUEST FOR REFUND</u>
Atty. Docket No.: MARK6006)	

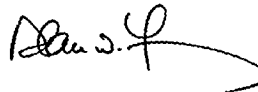
Mail Stop 16
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Attached are copies of: *Transmittal Letter (p2)*; *Form PTO-1449*, and *PTO stamped return postcard*. We have confirmed that \$1400 (for Fee Codes 1631 (\$300), **1632 (\$500)**, 1633 (\$200), and 1614 (\$400)) was charged to our credit card on April 7, 2006. Also attached is a copy of our *Monthly Statement of Deposit Account* dated September 29, 2006, indicating an additional charge for **Fee Code 1642 (\$400)** on September 14, 2006. Also attached is a copy of the *Filing Receipt (p1)* mailed September 18, 2006, indicating a filing fee received in the amount of \$1660.

Applicants were charged two search fees (Fee Code 1632 and Fee Code 1642) as evidenced by the attached documents. Since the search report was prepared by the EPO and a copy thereof was provided to the USPTO in this application, Applicants hereby Request a Refund of Fee Code 1632 in the amount of \$500, to Deposit Account No. 50-3159.

Respectfully submitted,



Date: April 5, 2007

By: _____

Alan W. Young
Attorney for Applicants
Registration No. 37,970

YOUNG LAW FIRM, P.C.
4370 Alpine Rd., Ste. 106
Portola Valley, CA 94028
Tel.: (650) 851-7210
Fax: (650) 851-7232

United States Patent and Trademark Office
Credit Card Payment Form
Please Read Instructions before Completing this Form

B? ☒
COPY

Credit Card Information

Credit Card Type: ☐ Visa ☐ MasterCard ☒ American Express ☐ Discover

Credit Card Account #: 3732-784367-02006

Credit Card Expiration Date: 11/06

Name as it Appears on Credit Card: Alan Woodbury Young, YOUNG LAW FIRM

Payment Amount: \$ (US Dollars): 1400.00

Cardholder Signature: 

Date: March 29, 2006

Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The office will not refund amounts of \$25.00 or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR § 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged.

Service Charge: There is a \$50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR § 1.21 (m)).

Credit Card Billing Address

Street Address 1: 4370 Alpine Rd., Ste. 106

Street Address 2:

City: Portola Valley

State/Province: CA

Zip/Postal Code: 94028

Country: U.S.

Daytime Phone #: (650) 851-7210

Fax #: (650) 851-7232

Request and Payment Information

Description of Request and Payment Information:

National Phase Filing Fees.

<input checked="" type="checkbox"/> Patent Fee	<input type="checkbox"/> Patent Maintenance Fee	<input type="checkbox"/> Trademark Fee	<input type="checkbox"/> Other Fee
Application No.	Application No.	Application No.	IDON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney Docket No. MARK6006		Identify or Describe Mark	

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent and Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

INTERNATIONAL APPLICATION NO.
PCT/GB2004/004167

ATTORNEY'S DOCKET NUMBER
MARK6006

COPY

The following fees are submitted:

- ☐ a) Basic national fee..... \$300.00
☒ b) Examination fee..... \$200.00
☒ c) Search fee..... \$500.00

TOTAL OF ABOVE CALCULATIONS = \$1000.00

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
- 100 =	/50 =		x \$250.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	10 - 20 =	0	x \$50.00
Independent claims	5 - 3 =	2	x \$200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00

TOTAL OF ABOVE CALCULATIONS = \$

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

SUBTOTAL = \$ 1400.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE = \$ 1400.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

TOTAL FEES ENCLOSED = \$ 1400.00

Amount to be refunded:

Amount to be charged

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3159. A duplicate copy of this sheet is enclosed.
d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Alan W. YOUNG
YOUNG LAW FIRM, P.C.
4370 Alpine Rd., Ste. 106
Portola Valley, CA 94028
Tel.: (650) 851-7210
Fax: (650) 851-7232

SIGNATURE

Alan W. YOUNG

NAME

37,970

REGISTRATION NUMBER

COPY

RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Atty. Docket No.: MARK6006

RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Atty. Docket No.: MARK6006

Title: FLUORESCENT COMPOUND

RECEIVED

APR 19 2006

First Inventor: Daniel Eric LYNCH

YOUNG LAW FIRM, P.C.

Documents: 371 APPLICATION TRANSMITTAL LETTER (2pgs); FORM PTO-2038 (1pg); APPLICATION DATA SHEET (2pgs); FORM PTO/SB/08A (1pg); FORM PTO/SB/08B (1pg); COPY OF INTERNATIONAL PUBLICATION NO. WO 2005/033245 A1; POSTCARD


MAR 30 PCT/PTO 30 MAR 2006

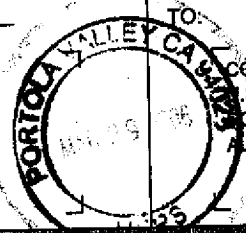

Express Label: EO 919 088 689 US

Date Mailed: March 29, 2006

10/574166

Use Express Mail packaging or stickers. Securely affix label to mail piece. Do not tape over barcode or "Postal Use Only" section. This Online Label and Online Record must be presented to Postal personnel for acceptance processing to receive the service guarantee and be eligible for a service related refund. This completed and round-dated Online Record must be submitted with refund application. Refunds for unused postage paid labels can be requested online 10 days from the print date.

EXPRESS MAIL		CUSTOMER Online Record		DO NOT MAIL	
UNITED STATES POSTAL SERVICE ®					
PO ZIP Code 94028	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input checked="" type="checkbox"/>			
Date In Mar 29 06 Day Year	<input type="checkbox"/> 12 NOON <input type="checkbox"/> 3 PM	Postage \$14.40			
Time In <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	Address to PO Box <input type="checkbox"/>	Return Receipt Fee			
Weight lbs 0 ozs 8	Contents Value	COD Fee	Ins. Fee \$0.00		
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials NJ	Total Postage & Fees \$14.40			
		 EO 919 088 689 US <input checked="" type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only) Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.			
		Mailer's Signature _____			

FROM INFORMATION		TO INFORMATION	
FROM:	PHONE:	TO:	PHONE:
ALAN W YOUNG YOUNG LAW FIRM, P.C. 4370 ALPINE RD STE 106 PORTOLA VALLEY CA 94028-7927		COMMISSIONER FOR PATENTS U.S. PATENT AND TRADEMARK OFFICE P.O. BOX 1450 ALEXANDRIA VA 22313-1450	
			

USPS Employee: For service failure refunds, follow existing refund procedures. Attach the Customer Online Record to the PS Form 3533 and send copies of both to the Express Mail Office for recording.

COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/574,166	03/29/2006	1645	1660	MARK6006		10	5

CONFIRMATION NO. 4158

22430
 YOUNG LAW FIRM, P.C.
 ALAN W. YOUNG
 4370 ALPINE ROAD
 SUITE 106
 PORTOLA VALLEY, CA 94028

FILING RECEIPT



OC000000020462024

RECEIVED

SEP 25 2006

Date Mailed: 09/18/2006

YOUNG LAW FIRM, P.C.

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel Eric Lynch, Coventry, UNITED KINGDOM;
 John Heptinstall, Coventry, UNITED KINGDOM;

Assignment For Published Patent Application

Coventry University, Coventry, UNITED KINGDOM

Power of Attorney: The patent practitioners associated with Customer Number 22430.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/04167 09/30/2004

Foreign Applications

UNITED KINGDOM 0323171.9 10/03/2003

If Required, Foreign Filing License Granted: 09/15/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/574,166**

Projected Publication Date: 12/28/2006

Non-Publication Request: No



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion
with your check. Make checks payable to "Director of the USPTO."YOUNG LAW FIRM PC
ALAN W YOUNG
4370 ALPINE RD
SUITE 106
PORTOLA VALLEY CA 94028

FINA

Account No.
503159
Date
9-29-06
Page
1

PLEASE SEND REMITTANCES TO:
U.S. Patent and Trademark Office
P.O. Box 371279
Pittsburgh, PA 15251-7279

Call the Deposit Account Branch at 571-272-6500 for assistance.

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
9	14	06	684	10574166	MARK6006	1642	400.00	115.00
9	22	06	61	PAYMENT		9203	-1500.00	1615.00
9	27	06	852	10789975	CYBS5858	2253	510.00	1105.00
9	27	06	853	10789975	CYBS5858	2801	395.00	710.00
9	29	06	267	SERVICE CHARGE		9202	25.00	685.00
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
					515.00	1330.00	1500.00	685.00